

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview

1. **Date of Submission:** 2011-01-19

2. **Agency:** 011

3. **Bureau:** 10

4. **Name of this Investment:** FBI Data Integration and Visualization System (DIVS)

5. **Unique Project (Investment) Identifier (UPI):** 011-10-01-02-01-3372-00

6. **What kind of investment will this be in FY 2012?:** Mixed Life Cycle

- Planning
- Full Acquisition
- Operations and Maintenance
- Mixed Life Cycle
- Multi-Agency Collaboration

7. **What was the first budget year this investment was submitted to OMB?** FY2010

8.

- a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

The FBI DIVS is an operational intelligence and investigations program that supports FBI investigators, including agents, analysts, and linguists, in the performance of their mission to "protect US from terrorist and foreign intelligence activity" while also "preserving civil liberties." DIVS stores and presents for analysis FBI controlled investigative and intelligence electronic data collected by or provided to the FBI. DIVS analytical software applications enable users to view, search, and establish relationships among stored entities. Enhancement activities include the incremental addition of other available FBI controlled data sets into DIVS to improve FBI terrorist data analysis capabilities and efficiencies plus other investigative and intelligence missions. This will involve the merger of the data from over 200 data sets or sources into a single investigative and intelligence information resource. Enhancements also include the addition of appropriate storage resources to accommodate continued growth in collected data and other hardware to sustain system performance. O&M activities include keeping this operational mission support system current with US Law, evolving threats, evolving and available information technologies, and FBI or other agency collection activities. This program also includes, on average, 30-40 ongoing or new technology projects in support of specific cases, FBI operations or new FBI information technology tools or specialized databases. Specific accomplishments expected during FY 2012 are the inclusion of additional data sets in the DIVS Data Aggregation project plus the addition and refinement of specific analytic features to enable the more rapid and graphic depiction and exploitation of included data. Other specific accomplishments will be consistent with the need for high profile case support and new threats plus the continued development of new analytic capabilities and adjustments to emerging new communications technologies introduced to the market. Actual accomplishments will be dependent on FY 2011 funding and accomplishments plus the priorities presented to the program office for resolution.

- b. **Provide any links to relevant websites that would be useful to gain additional information on the investment including links to GAO and IG reports.**

Title	Link
NONE	

9.

- a. **Provide the date of the Agency's Executive/Investment Committee approval of this investment.**  
2010-08-03
- b. **Provide the date of the most recent or planned approved project charter.** 2010-06-23

10. **Contact information?**

- a. **Program/Project Manager Name:** \*  
**Phone Number:** \*  
**Email:** \*
- b. **Business Function Owner Name (i.e. Executive Agent or Investment Owner):** Timothy Murphy  
**Phone Number:** \*  
**Email:** \*

**11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA):** Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding  
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

**Table I.B.1: Summary of Funding**  
**(In millions of dollars)**

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 2

3. Insert the number of years covered in the column “BY+4 and beyond”: \*

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded		Classified			*	*	\$33.7	Cost Plus Fixed Fee	N	2008-04-01	2013-03-15	Not Available for Competition	FFDRC contract
Awarded		Classified			*	*	\$13.2	Cost Plus Fixed Fee	N	2008-11-21	2013-11-20	Follow On to Completed Action	Labor Services
Awarded		Classified			*	*	\$4.2	Cost Plus Fixed Fee	N	2009-09-27	2013-03-31	Follow On to Completed Action	Labor Services
Awarded		Classified			*	*	\$20.0	Cost Plus Fixed Fee	N	2009-07-01	2013-04-30	Full and Open Competition after exclusion of sources	Labor Services
Awarded		Classified			*	*	\$7.2	Cost Plus Fixed Fee	N	2010-05-24	2013-05-23	Full and Open Competition after exclusion of sources	Labor Services

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded		Classified			*	*	\$12.4	Cost Plus Fixed Fee	N	2009-02-01	2013-02-12	Full and Open Competition after exclusion of sources	Labor Services
Awarded		Classified			*	*	\$4.5	Cost Plus Fixed Fee	N	2010-06-15	2013-06-14	Full and Open Competition after exclusion of sources	Labor Services

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow \*
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 \*
- c. Was the Acquisition Plan approved in accordance with agency requirements \*
- d. If "yes," enter the date of approval? \*
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? \*
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? \*
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

\*

## Part II: IT Capital Investments

### Section A: General

1.
  - a. **Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control.** yes
  - b. **If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.**
2. **Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment.** DOJ will include cloud computing (CC) capabilities in its infrastructure when they are mature enough to support DOJ missions and security requirements. Its CC strategy will define a service approach for implementing CC capabilities across DOJ.
3. **Provide the date of the most recent or planned Quality Assurance Plan** 2011-06-30
4.
  - a. **Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.**
  - b. **If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s).**
5. **An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment.** 2010-01-29
6. **Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated.** 2010-06-23

## Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Implement FISA Court mandates on SMP for DWS, EDMS, and DaLAS	DME	*	\$1.9	\$1.9	2008-04-08	2008-04-08	2008-11-01	2008-11-01	100.00%	100.00%
Provide FY 2009 O&M of DIVS	SS	*	\$57.3	\$57.3	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
Provide FY 2010 O&M of DIVS	SS	*	\$30.0	\$30.0	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
DIVS Phase 2 PDR	DME	*	\$1.4	\$1.4	2009-10-01	2009-10-01	2010-03-30	2010-03-30	100.00%	100.00%
Complete consolidation of DWS and EDMS, activate DWS-EDMS, shut down EDMS	DME	*	\$1.5	\$1.5	2008-11-02	2008-11-02	2009-05-01	2009-05-12	100.00%	100.00%
Provide FY 2015 O&M of DIVS	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
Provide FY 2013 O&M of DIVS	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
Provide FY 2008 O&M of DIVS	SS	*	\$35.6	\$35.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Provide FY 2011 O&M of DIVS	SS	*	\$15.2	\$11.4	2010-10-01	2010-10-01	2011-09-30		75.00%	75.00%
DIVS Increment 1	DME	*	\$20.6	\$20.5	2010-04-01	2010-04-01	2010-09-30	2010-09-30	100.00%	100.00%
Provide FY 2014 O&M of DIVS	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
DIVS Phase 2, Increment 2, Group 1	DME	*	\$4.6	\$2.9	2011-01-03	2010-12-17	2011-04-01	2011-03-30	100.00%	100.00%
DIVS, Phase 2,	DME	*	\$0.6	\$0.6	2009-07-01	2009-07-01	2009-09-29	2009-09-29	100.00%	100.00%



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Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Systems Requirements Review										
Provide FY 2012 O&M of DIVS	SS	*	\$15.5	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
DIVS Increment 2 Planning	DME	*	\$0.9	\$0.9	2010-06-01	2010-06-01	2010-12-31	2010-12-29	100.00%	100.00%
DIVS Increment 3 Planning	DME	*	\$0.8	\$0.1	2011-07-15	2011-06-06	2011-12-09		0.00%	10.00%
Provide FY 2016 O&M of DIVS	SS	*	*	*	2015-10-01	*	2016-09-30	*	*	*
DIVS Increment 4 Delivery	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*
DIVS Increment 4 Planning	DME	*	*	*	2012-07-15	*	2012-12-09	*	*	*
DIVS Increment 3 Delivery	DME	*	\$18.6	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
DIVS Version 1.1	DME	*	\$1.8	\$1.7	2010-10-01	2010-10-01	2010-12-31	2010-12-16	100.00%	100.00%
DIVS Phase 2, Increment 2, Group 2	DME	*	\$2.9	\$2.8	2011-04-04	2011-04-01	2011-07-01	2011-06-22	100.00%	100.00%
DIVS Phase 2, Increment 2, Group 3	DME	*	\$10.1	\$0.1	2011-07-06	2011-06-22	2011-09-30		0.00%	3.00%
FY 2011 DIVS Functional & Technical Enhancements	DME	*	\$26.3	\$19.7	2010-10-01	2010-10-01	2011-09-30		75.00%	75.00%
FY 2012 DIVS Functional & Technical Enhancements	DME	*	\$25.0	\$0.0	2011-10-01		2012-09-30		0.00%	0.00%
FY 2013 DIVS Functional & Technical	DME	*	*	*	2012-10-01	*	2013-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Enhancements										
FY 2014 DIVS Functional & Technical Enhancements	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
FY 2015 DIVS Functional and Technical Enhancements	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*
FY 2016 DIVS Functional & Technical Enhancements	DME	*	*	*	2015-10-01	*	2016-09-30	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion.

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis. 2010-11-01

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation? no

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

## Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Customer Results	Customer Impact or Burden	Average time to search and load a query	annual	Elapsed time	Decrease	Less than 7 seconds	2011-01-11
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Less than 5 seconds	2.53	Met	2011-07-26
Customer Results	Customer Impact or Burden	Average time to search and load a query	annual	Elapsed time	Decrease	less than 5 seconds	2011-01-11
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	Less than 3 seconds		Not Due	2011-02-15
Processes and Activities	Efficiency	Number of Data sets added to DIVS	annual	Data Set	Increase	10	2010-08-17
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	15	24	Met	2010-10-28
Processes and Activities	Efficiency	Number of data sets added to DIVS	annual	Data Set	Increase	15	2010-08-17
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	20	22	Met	2011-07-26
Processes and Activities	Efficiency	Number of data sets	annual	Data set	Increase	40	2010-08-15

added to DIVS

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	50		Not Due	2011-02-15
Mission and Business Results	Intelligence Processing	Number of files marked for action	quarterly	File	Increase	250000	2009-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	350000	877,000	Met	2011-07-26
Mission and Business Results	Intelligence Processing	Number of files marked for action	quarterly	File	Increase	250000	2010-08-17
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	400000		Not Due	2011-02-15
Mission and Business Results	Intelligence Processing	Number of files of interest found	annual	File	Increase	15300	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	16000	10,495	Not Met	2010-09-16
Mission and Business Results	Intelligence Processing	Number of files of interest found	monthly	File	Increase	16000	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	16000	4,988,633	Met	2010-09-16
Mission and Business Results	Intelligence Processing	Number of files of interest found	quarterly	File	Increase	250000	2009-08-15

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	350000	2990000	Met	2010-10-28
			annual	Students Trained	Increase	2000	2009-08-15
Customer Results	Customer Training	Number of people trained	Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	2500	3105	Met	2011-02-18
Customer Results	Customer Training	Number of people trained	annual	Students Trained	Increase	551	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	600	1,191	Met	2010-09-16
Customer Results	Customer Training	Number of people trained	annual	Number of people trained	Increase	1000	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	1500	5,275	Met	2010-09-16
Technology	Functionality	Number of technical enhancements and advances in analytical capability implemented	annual	Technical Enhancement	Increase	20	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	20	81	Met	2010-09-16
			2009	40	58	Met	2010-09-16

Technology	Functionality	Number of technical enhancements and advances in analytical capability implemented					
			quarterly	Technical Enhancement	Increase	20	2009-08-15
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	40	57	Met	2010-09-16
Technology	Functionality	Number of technical enhancements and advances in analytical capability implemented	quarterly	Technical Enhancement	Increase	40	2010-08-17
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2012	50		Not Due	2010-09-16
Technology	Functionality	Number of technical enhancements and advances in analytical capability implemented	quarterly	Technical Enhancement	Increase	30	2010-08-17
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	40	45	Met	2011-07-26
Processes and Activities	Efficiency	Percentage of non-applicable files filtered prior to user visibility	annual	Percent	Increase	25%	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2008	25%	46%	Met	2010-09-16



Processes and Activities	Efficiency	Percentage of non-applicable files filtered prior to user visibility	monthly	Percent	Increase	33%	2008-03-01
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2009	33%	40%	Met	2010-09-16

\* - Indicates data is redacted.